

its face, the color- $SU(3)$ gauge theory implies that the strong force between quarks is mediated by massless spin-1 “gluons”—multicolored analogs of the photon. But why hadn’t such particles been seen? Most likely, it seemed, the gluons were rendered very massive by spontaneous symmetry breaking, as are the heavy vector bosons of the electroweak theory. Now we know that color symmetry is unbroken and that the gluons are indeed massless but inescapably confined inside hadrons, as are the quarks. Confinement in QCD has taken longer to understand than asymptotic freedom. “I hold the minority opinion that confinement is still largely a mystery,” says Ken Wilson.

Despite their absolute confinement, the reality of quarks and gluons is nowadays made vividly manifest by the highly collimated jets of hadrons they occasionally generate in e^+e^- collisions at sufficiently high energies. QCD predicts very accurately the energy-dependent cross section for producing events like that in figure 1, whose three jets reveal two quarks and a gluon.

Further strong evidence for the validity of QCD comes from small logarithmic departures from deep-inelastic scaling at energies much higher than those of the original SLAC experiments. Gross and Wilczek pointed out, in a longer followup paper,⁴ that QCD requires such corrections to naive Bjorken scaling. And their prediction was amply confirmed by experiments at CERN in the late 1970s. Figure 2 shows how well QCD predicts the hallmark of asymptotic freedom, namely, the momentum dependence of the strong coupling constant.

Asymptotic freedom has ramifications far beyond QCD. “The weakening of the strong force at high energies, together with the mathematical

resemblance between QCD and the electroweak theory,” says Wilczek, “makes possible the quantitative examination of ambitious schemes for a grand unification of the two.” Just before asymptotic freedom was discovered, Steven Weinberg had written that in the first microseconds after the Big Bang, at temperatures above 10^{12} K, “we encounter theoretical problems of a difficulty beyond the range of modern statistical mechanics.”⁵ Wilczek says that “the discovery of asymptotic freedom dispelled this pessimism overnight. At those primordial temperatures and densities, matter actually becomes weakly interacting and you can calculate its equation of state.”

The laureates

Politzer was born in New York City in 1949. The story is told that, after this year’s Nobel prizes were announced, he received a congratulatory call from an old high-school classmate. “I always knew,” said the classmate, “that you’d be the first in our class to win the Nobel prize.” “Not quite!” Politzer had to reply. He’d been beaten to Stockholm by Russell Hulse, another member of the Bronx High School of Science class of 1966, who shared the 1993 physics prize with Joseph Taylor for their discovery of binary pulsars. Politzer earned his undergraduate physics degree at the University of Michigan. He’s been on the Caltech faculty since 1975.

Wilczek is also a native New Yorker, born in 1951. “The commute to Bronx Science was too far,” he recalls, “but I got a terrific education at Martin Van Buren, my local high school in Queens.” He started at Princeton in 1970 as a graduate student in math. “But taking Gross’s field theory course showed me my true vocation.”

Wilczek taught at Princeton until

1981, when he moved to UC Santa Barbara, where he was appointed the first permanent member of the Institute of Theoretical Physics. From 1990 to 2000, he was at the Institute for Advanced Study in Princeton. Since then he has been at MIT.

The Santa Barbara institute is now called the Kavli Institute for Theoretical Physics, and Gross is its director, having left Princeton in 1997 to take that post. He was born in Washington, DC, in 1941. When he was 12, the family moved to Israel, where his father served as an economic adviser with a US delegation. Gross’s undergraduate education in physics was at the Hebrew University of Jerusalem.

A historical footnote: In the summer of 1972, ‘t Hooft already knew that the small- g limit of the β function can be negative for Yang–Mills theories. That he had done the calculation is clear from a public comment he made after a talk by Symanzik. But ‘t Hooft’s comment was not widely known, and he didn’t publish his result until several months after the discovery papers had appeared.⁶ In any case, for his earlier proof that Yang–Mills theories are renormalizable, ‘t Hooft shared the 1999 physics Nobel prize with Martinus Veltman.

Bertram Schwarzschild

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Magnetoresistive Tunnel Junctions Look Ever More Promising for Magnetic Random Access Memory

Replacing an amorphous insulating barrier with a crystalline barrier has produced a threefold increase in the room-temperature magnetoresistance.

The relentless demand for smaller, faster, cheaper, more capable computers continues to drive the development of devices for sensing and storing information. Over the past decade, manufacturers have exploited the phenomenon of giant magnetoresistance (GMR) to build sensors for reading data bits coded as tiny magnetized regions on disk drives. The higher sensitivity of these GMR read heads to mag-

netic fields has allowed a reduction in the bit size and hence an enormous increase in the storage capacity of magnetic hard disk drives.¹

One of the technologies on the horizon is the magnetic tunnel junction (MTJ). Dan Dahlberg at the University of Minnesota suspects that every hard-drive manufacturer has some kind of tunnel-junction sensor under development. Among its advantages,

MTJs promise even higher sensitivities than GMR devices. Recent experiments now suggest that MTJs will not disappoint.

A GMR device comprises two layers of ferromagnetic material, such as cobalt, separated by a thin layer of normal metal—say, copper. When the magnetic moments of the ferromagnetic layers are parallel, current flows through the sandwiched layers with relatively little resistance. When the two moments are antiparallel, however, the resistance is higher. In

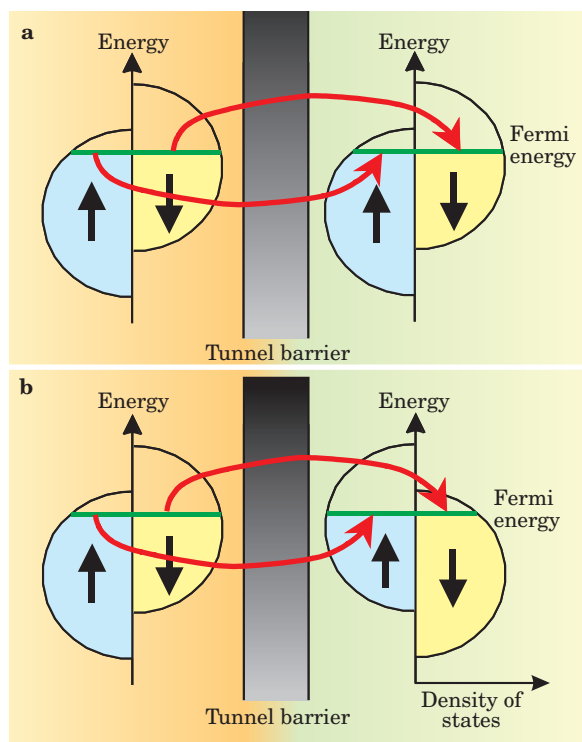


Figure 1. Spin-dependent tunneling occurs because spin-up and spin-down electrons occupy different densities of states in a ferromagnet. Both top and bottom panels depict tunneling of electrons from a ferromagnetic (FM) layer on the left (light brown) through an insulating barrier to a FM layer on the right (light green). Curved arrows show the direction of tunneling. **(a)** When the moments of the left and right FM layers are parallel, there are plenty of empty spin-down states at the Fermi level on the right into which spin-down electrons can tunnel. **(b)** When the moments are antiparallel, the population of the states on the right-hand side is reversed, and the spin-down electrons tunneling from the left find fewer spaces available.

today's GMR devices, the magnetoresistance, defined as the percentage difference in resistance between the parallel and antiparallel configurations, is around 10–15%. In applications, the direction of magnetization of one ferromagnetic layer is usually fixed, and the direction of the other layer is determined by the external field, such as that on a data bit. A magnetoresistive device can then detect the direction of that field by its effect on the resistance of the device and hence the current flow through it.

MTJs also consist of two layers of ferromagnetic material, but they are separated by an insulating layer. Electrons in one layer must tunnel through the insulator to reach the other layer. The tunneling current typically flows more readily when the ferromagnetic moments are aligned than when they are opposed.

Michel Jullière of the Institut National des Sciences Appliquées in Rennes, France, showed in 1975 that the conductance in tunnel junctions would depend on the relative directions of magnetization of the two ferromagnetic electrodes.² The work drew on spin-polarized tunneling studies begun in 1971 by Robert Meservey and Paul Tedrow at MIT.³ Early attempts to produce high tunneling magnetoresistance (TMR) were unsuccessful, however.

In 1995, interest was rejuvenated when TMR values of 17% were reported by an MIT team led by Jagadeesh Moodera.⁴ More results were reported by a Tohoku University team headed by Terunobu Miyazaki.⁵

polycrystalline or crystalline magnesium oxide insulating barriers. One team, led by Stuart Parkin of the IBM Research Laboratory in Almaden, California,⁷ made a tunnel junction with polycrystalline MgO and ferromagnetic layers using sputtering techniques, which are amenable to mass production. Their structure had a room-temperature TMR of 220%. The other group, headed by Shinji Yuasa of the National Institute of Advanced Industrial Science and Technology (AIST) in Tsukuba, Japan,⁸ used molecular beam epitaxy (MBE) to lay down a crystalline structure. Yuasa's group reported a room-temperature TMR of 180%. Both experiments were motivated by theoretical papers predicting magnetoresistance values of 1000% or more for tunnel junctions made with crystalline insulating layers.^{9,10}

Applications for tunnel junctions

Do the new values of TMR open the door to new applications that were not possible before? No, but they do mean that the devices will perform even better and no doubt reassure companies that had bet on the MTJ technology. A tunneling magnetoresistive value of 70% is already high enough for applications such as read heads: It is certainly higher than those of the GMR-based devices that tunnel junctions are likely to replace. The greater sensitivity should allow MTJ read heads either to sense data stored in smaller bits or to scan the same-size bits more rapidly.

Magnetoresistive devices can fill a number of roles in information stor-

age. First, they can be used to read data bits that are stored as tiny magnetized regions in a continuous magnetic film. Typically, the magnetic moment of one of the two ferromagnetic layers is fixed and the other one is free to respond to the field of the bit being read. Depending on whether the bit is "0" or "1"—that is, whether the bit's field points in one direction or in the opposite direction—the resistance of the ferromagnetic sandwich (be it a GMR device or a MTJ) will be increased or decreased.

MTJs can also be used to store data bits, with the information coded by the magnetization direction of the free ferromagnetic layer. One would write on such a bit by running wires to each tunnel junction; current in the wires would produce a field that magnetizes the free layer. The data bit could be read by measuring the tunneling current through the device. Such a magnetoresistive random-access memory (MRAM) would be nonvolatile (that is, it wouldn't be erased when the power was turned off) and it would have many advantages over the current generation of dynamic random-access memory (DRAM).

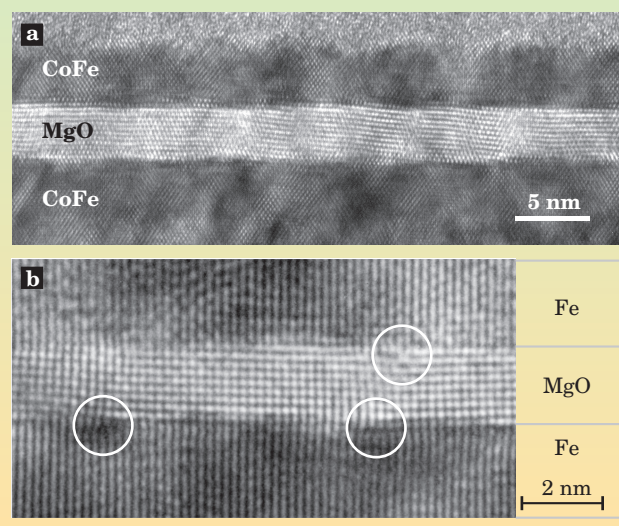
Currently, data bits in DRAMs are stored as charges on minuscule capacitors. Because the charges leak off on a millisecond time scale, they must be replenished, or data will be lost: Updating the bits requires power and shortens the DRAM's lifetime. Parkin reports that MRAMs are now under development by a number of companies.

A third application of MTJs would be as elements in logic devices or as reprogrammable logic processors. That's the most demanding application and would require devices with very high TMR values to operate essentially as an on-off switch.

Spin-dependent density of states

In both GMR devices and in MTJs, the resistance depends on the relative directions of the magnetic moments because the electrons occupy different

Figure 2. Highly oriented magnesium oxide layers sandwiched between ferromagnetic layers in magnetic tunnel junctions. (a) Transmission electron micrograph of a MTJ with polycrystalline MgO layers put down by sputtering techniques between layers of cobalt iron, in an experiment at the IBM Research Lab in Almaden, California. (Adapted from ref. 7.) (b) Transmission electron microscope image of crystalline MgO layers between layers of iron, formed using molecular beam epitaxy by a team at Japan's National Institute of Advanced Industrial Science and Technology in Tsukuba. Circles indicate lattice dislocations. (Adapted from ref. 8.)



energy states depending on their spin. GMR stems from the spin-dependent scattering at the interfaces between ferromagnetic and metal layers. In MTJs, as shown in the simplified sketch of figure 1, the spin-down electrons on the left-hand side have a higher density of states available to them near the Fermi energy than do the spin-up electrons. When these spin-down charges tunnel across the insulating barrier, they are accepted into the numerous empty spin-down states on the other side, provided that the two ferromagnets have the same orientation. If the orientation is opposite, the density-of-states distributions are reversed, as seen in the bottom panel. Then, a spin-down electron from the left finds many fewer states available to it on the right.

Until five years ago, much of the experimental work on MTJs focused on amorphous insulating layers, especially aluminum oxide, which are easy to lay down. Most of the simple ideas about the tunneling junction at the time emphasized the density of states of the electrodes and essentially ignored the insulating barrier. Theorists then began to realize that they needed to account properly for the insulating layer and the spin- and symmetry-dependent decay of evanescent waves within this layer.⁹⁻¹¹

In 2000, theorists began treating the impact of a crystalline insulating barrier. By then, says William Butler of the University of Alabama, experimenters were trying to grow MgO crystals on iron; the lattice mismatch between the two is small. Butler, then at Oak Ridge National Laboratory, worked with colleagues from that lab and from Tulane University to calculate the tunneling in a Fe/MgO/Fe sandwich.⁹ J. Mathon and Andrei Umerski of City University, London, reported a similar calculation.¹⁰ Butler points out that the electrons most likely to tunnel into the insulator are those with their momenta directed perpendicular to the interface. Any momentum directed parallel to the surface, he says, is wasted. Equiva-

lently, the wavefunctions most likely to tunnel through the barrier are those with the highest degree of symmetry in the plane parallel to the interfaces. Thus a wavefunction whose projection onto that plane is a circle has a higher probability of transmission than one whose projection looks like a four-leaf clover. Such insight from analysis of the crystalline electrodes and insulators led researchers to expect room-temperature TMR values exceeding 1000%.

Gary Prinz of the Naval Research Laboratory in Washington, DC, adds that Butler also predicted that zinc selenide would be a good spin-discriminating barrier between iron layers. "But none of us could successfully make the material," he says.

Realizing the predictions

Even backed by theoretical predictions and some promising experimental work,¹² realizing large gains in room-temperature TMR required a lot of innovation. Parkin's group at IBM built on their considerable experience with GMR structures and MTJs to find a structure that would have technological applications. Rather than grow single crystals with MBE, which many jokingly refer to as a "megabuck evaporator," the IBM group sought to lay down a structure with a standard industrial tool—a conventional sputtering system.

Sputtering does not produce single crystals, but the IBM experimenters managed to deposit ferromagnetic Fe or CoFe layers and insulating MgO barriers consisting of crystal grains that were highly "textured." Textured means that the crystallographic (100) directions of the grains were mostly aligned, while there was a random orientation of the grain's crystallographic directions in the plane of the interface. Achieving the textured growth required finding the right combination of substrate layers on

which the electrode is grown. A transmission electron micrograph of the junction is shown in the top panel of figure 2.

The IBM researchers found the highest TMR with CoFe alloys that were predominantly cobalt, rather than with pure iron. As seen in the top panel of figure 3, TMR increased with the annealing temperature. Also seen in figure 3 is the hysteresis loop associated with the magnetization of the upper layer. The highest values of TMR measured by the IBM group were 220% at room temperature and about 300% at low temperatures. TMR is measured at low bias voltages, around 10 millivolts. Practical devices operate at higher bias voltages, and TMR drops with the bias voltage. Parkin remarked, however, that the decrease was not very great.

The IBM researchers also found that the electrons tunneling through their device had an 85% spin polarization. This high value may make the tunneling junctions useful as spin injectors in spintronics applications, where the information is carried by the spin rather than the charge.

Parkin says that the MTJs made by his group are thermally stable up to 400°C. While the devices described in their recent paper were quite large—80 microns on a side—Parkin reports that his team has also built submicron-sized junctions with similarly high TMR. The experimenters exploit a phenomenon known as oscillatory exchange coupling to build MTJ structures useful for MRAM applications with a "synthetic artificial antiferromagnetic" reference layer that compensates for the fringing fields from the reference layer that can be a problem as the junction size is greatly reduced.¹

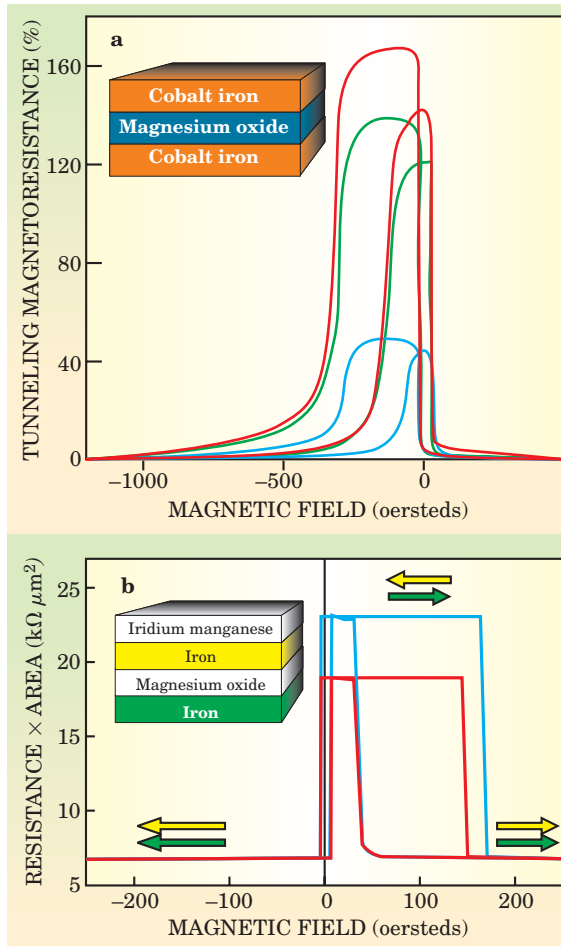


Figure 3. Tunneling magnetoresistance as a function of magnetic field. **(a)** Data from IBM Almaden. The three curves shown are for annealing temperatures of 120°C (blue), 360°C (green) and 380°C (red). Hysteresis loops are seen for all three. (Adapted from ref. 7.) **(b)** Data from AIST in Japan. The blue curve is measured at 20 K and the red curve is measured at 293 K. The different regions of the curves are labeled by green and yellow arrows representing the magnetization directions of the iron layers. (Adapted from ref. 8.)

reported¹³ a TMR of 88%. More recently they have been able to improve the crystallinity of the upper iron electrode by growing it at temperatures above room temperature. That also helped reduce the excess of oxygen atoms on the top surface of the MgO layer. With those improvements, the TMR rose to 180%. A transmission electron microscope image of the resulting junction is shown in figure 2b, and the measured TMR is shown in figure 3b.

The AIST group also reports evidence of coherence in the spin-polarized tunneling. The theoretical papers had predicted that interference effects stemming from this coherence might cause the measured values of TMR to oscillate as a function of the thickness of the MgO layer. Butler remarked that he and his colleagues never dreamed that anyone would be able to measure that. But the AIST team presents evidence for it.

Yuasa says that he and his fellow researchers are now trying to fabricate a lower-impedance junction for

read heads. They are also trying to enhance the TMR effect and to use currents to reverse the direction of magnetization in the MTJs with MgO barriers, for use in write operations.

Barbara Goss Levi

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Epitaxial growth

The group from Japan's AIST grew its MTJs epitaxially, using MBE. This method is certainly not suitable for mass production but the precise control yields crystals that can be used to study the basic physics of the TMR effect. In that sense, Yuasa feels that his team's results complement those of the IBM group.

Yuasa and his coworkers initially

Thermodynamics Explains the Symmetry of Spherical Viruses

The protective coats of certain viruses exhibit structures predetermined by mathematics and selected by physics.

If a virus succeeds in planting its genome in a cell, the cell is doomed. Forced to follow the genome's orders, the cell makes the enzymes needed to replicate the genome and the proteins that constitute the viral coat. From coat proteins and replicated genomes, new, identical copies of the virus spontaneously assemble. The cell bursts, the viruses escape.

Outside its cellular host, the viral genome would disintegrate without the protection of its coat, the capsid. But if the capsid were too strong, the infectious genome would be trapped inside. Could that balance be upset to defeat virus-based diseases? New research from UCLA into capsid structure suggests it could.¹

As determined by x-ray and electron

crystallography, capsids are highly symmetrical, especially those of small, spherical viruses, such as poliovirus, norovirus, and the turnip yellow mosaic virus (TYMV) shown in figure 1.

In 1956, armed with little more than an observation of capsid symmetry and the knowledge that proteins by themselves are asymmetrical, Francis Crick and James Watson made a bold proposal.² The capsids of spherical viruses, they reasoned, are made up of "the regular aggregation